General information about company	
Scrip code	532989
Name of the company	BAFNA PHAMACEUTICALS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	8	6235865			6235865	33.42	6235865		6235865	33.42
(B)	Public	9504	12420470			12420470	66.58	12420470		12420470	66.58
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	9512	18656335			18656335	100	18656335		18656335	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				33.42			3182556	51.04	6235865
(B)	Public				66.58					12295221
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100			3182556	17.06	18531086

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	2955597			2955597	15.84	2955597		2955597	15.84
(d)	Any Other (specify)	3	3280268			3280268	17.58	3280268		3280268	17.58
Sub-Total (A)(1)		8	6235865			6235865	33.42	6235865		6235865	33.42
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		8	6235865			6235865	33.42	6235865		6235865	33.42
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(f)	Financial Institutions/ Banks	1	35			35	0	35		35	0
Sub-Total (B)(1)		1	35			35	0	35		35	0
(3)	Non-institutions										
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	8807	5183557			5183557	27.78	5183557		5183557	27.78
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	47	4352157			4352157	23.33	4352157		4352157	23.33
(e)	Any Other (specify)	649	2884721			2884721	15.46	2884721		2884721	15.46
Sub-Total (B)(3)		9503	12420435			12420435	66.57	12420435		12420435	66.57
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		9504	12420470			12420470	66.58	12420470		12420470	66.58
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		9512	18656335			18656335	100	18656335		18656335	100
Total (A+B+C)		9512	18656335			18656335	100	18656335		18656335	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				15.84			2054984	69.53	2955597
(d)				17.58			1127572	34.37	3280268
Sub-Total (A) (1)				33.42			3182556	51.04	6235865
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				33.42			3182556	51.04	6235865
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(f)				0					35
Sub-Total (B) (1)				0					35
(3)	Non-institutions								
(a(i))				27.78					5063308
(a(ii))				23.33					4352157
(e)				15.46					2879721
Sub-Total (B) (3)				66.57					12295186
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)				66.58					12295221
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					18531086
Total (A+B+C)				100			3182556	17.06	18531086

Individuals/Hindu undivided Family						
Searial No.	1	2	3	4	5	
Name of the Shareholders (I)	MAHAVEER CHAND BAFNA	PARAS BAFNA	NAVEENBAFNA M	MAHAVEER CHAND BAFNA HUF .	PARAS BAFNA .	Click here to go back
PAN (II)	AACPB6411G	AASPB2717M	APIPB8787J	AADHM1755R	AAEHP3540E	Total
No. of fully paid up equity shares held (IV)	1485078	911671	400121	158235	492	2955597
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1485078	911671	400121	158235	492	2955597
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	7.96	4.89	2.14	0.85	0	15.84
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	1485078	911671	400121	158235	492	2955597
Class eg:y						
Total	1485078	911671	400121	158235	492	2955597
Total as a % of Total Voting rights	7.96	4.89	2.14	0.85	0	15.84
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	7.96	4.89	2.14	0.85	0	15.84
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)	1485078	411671	0	158235	0	2054984
As a % of total Shares held (b)	100	45.16	0	100	0	69.53
Number of equity shares held in dematerialized form (XIV)	1485078	911671	400121	158235	492	2955597
Reason for not providing PAN						

Reason for not providing PAN						

Any Other (specify)				
Searial No.	1	2	3	
Category	Director or Director's Relatives	Director or Director's Relatives	Director or Director's Relatives	Click here to go back
Name of the Shareholders (I)	CHETNA BAFNA.	SASIKALA BAFNA	AMRI BAI BAFNA	
PAN (II)	AIOPB8547R	AASPB2718E	ABAPB0733A	Total
No. of the Shareholders (I)	1	1	1	3
No. of fully paid up equity shares held (IV)	2132805	627572	519891	3280268
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2132805	627572	519891	3280268
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	11.43	3.36	2.79	17.58
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	2132805	627572	519891	3280268
Class eg:y				
Total	2132805	627572	519891	3280268
Total as a % of Total Voting rights	11.43	3.36	2.79	17.58
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	11.43	3.36	2.79	17.58
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)	0	627572	500000	1127572
As a % of total Shares held (b)	0	100	96.17	34.37

Number of equity shares held in dematerialized form (XIV)	2132805	627572	519891	3280268
Reason for not providing PAN				

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.						
Searial No.	1	2	3	4	5	6
Name of the Shareholders (I)	RAMESH KUMAR CHOPRA	VISHWAS JAIN.	GYAN MAL JAIN.	KUSUM JAIN.	NISHA JIGNESH MEHTA	SUBRAMANIAN P
PAN (II)	AAEPC2637B	AAEPV2610D	AAJPG4636R	AALPK5548E	AGMPM9537E	AMBPS8931K
No. of fully paid up equity shares held (IV)	383250	309435	340000	302618	550620	415973
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	383250	309435	340000	302618	550620	415973
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.05	1.66	1.82	1.62	2.95	2.23
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	383250	309435	340000	302618	550620	415973
Class eg:y						
Total	383250	309435	340000	302618	550620	415973
Total as a % of Total Voting rights	2.05	1.66	1.82	1.62	2.95	2.23
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.05	1.66	1.82	1.62	2.95	2.23
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	383250	309435	340000	302618	550620	415973
Reason for not providing PAN						
Reason for not providing PAN						

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	
Searial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	2301896
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2301896
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	12.34
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	2301896
Class eg:y	
Total	2301896
Total as a % of Total Voting rights	12.34
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	12.34
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	2301896
Reason for not providing PAN	
Reason for not providing PAN	

Any Other (specify)						
Searial No.	1	2	3	4	5	6
Category	Bodies Corporate	Clearing Members	HUF	NRI – Non- Repat	NRI – Repat	NRI – Repat
Category / More than 1 percentage	More than 1 percentage of shareholding	Category	Category	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	KRYPTON INVESTMENT CONSULTANCY PRIVATE LIMITED				DHIRENDRA SOMAIYA	LINDAJEET KAUR RUPRAI
PAN (II)	AADCK9142R				ASGPD5021L	AZSPR4238E
No. of the Shareholders (I)	1	27	351	16	1	1
No. of fully paid up equity shares held (IV)	235853	17737	506237	26514	328200	667352
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	235853	17737	506237	26514	328200	667352
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.26	0.1	2.71	0.14	1.76	3.58
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	235853	17737	506237	26514	328200	667352
Class eg:y						
Total	235853	17737	506237	26514	328200	667352
Total as a % of Total Voting rights	1.26	0.1	2.71	0.14	1.76	3.58
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.26	0.1	2.71	0.14	1.76	3.58
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						

Number of equity shares held in dematerialized form (XIV)	235853	17737	506237	26514	328200	667352
Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)	
Searial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	394
No. of fully paid up equity shares held (IV)	550488
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	550488
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.95
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	550488
Class eg:y	
Total	550488
Total as a % of Total Voting rights	2.95
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.95
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	550488

Reason for not providing PAN	
Reason for not providing PAN	